

FACIAL RECOGNITION-BASED IDENTITY VERIFICATION AND DETECTION SYSTEM

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This scientific investigation is dedicated to an in-depth exploration and comprehensive evaluation of the Haar cascade classifier method as an essential component of facial recognition technology. In addition to its rigorous analysis, this paper substantiates the rationale behind selecting this particular algorithm and offers a detailed account of the system's implementation process, shedding light on the intricacies of the experimental setup employed for our study. The crafted facial recognition system underwent rigorous testing using a diverse dataset of facial photographs. This dataset included a broad spectrum of images captured at varying distances from the camera, under diverse lighting conditions, and encompassing various facial orientations within the camera's field of view. Subsequent to the extensive testing phase, a meticulous analysis of the test results was meticulously conducted. These results provided valuable insights into the system's strengths and weaknesses, highlighting the significance of certain factors in achieving optimal accuracy in facial recognition technology. The in-depth evaluation allowed us to draw robust conclusions regarding the critical considerations essential for the effective design and deployment of facial recognition systems aimed at attaining exceptionally high levels of precision and reliability. By identifying these factors, our research contributes significantly to the advancement of facial recognition technology, paving the way for more accurate and dependable systems in various applications.

Key words: system, recognition, device, face tracking, algorithm, Haar classifiers.

Introduction. Advancements in technology, particularly in the fields of automation, robotics, and artificial intelligence (AI), have significantly enhanced the quality of human life by augmenting convenience and security measures [1-3]. In today's fast-paced world, individuals are increasingly seeking out technologies that bolster their safety and peace of mind. To safeguard their homes, vehicles, workplaces, mobile devices, laptops, and more, people are turning to a diverse array of security systems. These systems often leverage cutting-

edge technologies, including remote keyless access, near-field communication, touchscreen interfaces, and various forms of biometric authentication. Among these, biometric systems stand out for their efficiency and unparalleled accuracy, offering an elevated level of security [4].

Within the realm of biometric systems, the realm of automatic face recognition and detection takes center stage. This technology hinges on the principles of computer vision and image recognition, enabling the identification of individuals by matching their facial features against a database of known faces. The applications of this technology extend well beyond mere security concerns, permeating diverse domains such as authentication, criminal investigations, video surveillance, robotics, and medical sciences [5,6].

Facial recognition technology has emerged as a pivotal component of modern applications, permeating areas like access control, security systems, and personalized user experiences [7-9]. The Haar cascade classifier method, renowned for its efficiency and accuracy in face detection, has been widely embraced across various computer vision applications. In this scholarly article, we present the implementation of a facial recognition system grounded in the Haar cascade classifier method, ingeniously adapted for the Arduino platform.

The fusion of facial recognition with Arduino, a widely accessible microcontroller board, ushers in a new era of possibilities for real-time and embedded face detection applications. While traditional facial recognition systems once demanded substantial computational resources, advances in hardware capabilities have rendered it viable to deploy such systems on low-power microcontrollers like Arduino.

The initial stages of real-time automatic face recognition and detection encompass the deployment of a camera to capture an image, the identification of faces within that image, the identification of regions of interest (ROI) where further operations will be executed, feature extraction, and the ultimate recognition of faces. The burgeoning interest in facial recognition and tracking systems can be attributed to various factors. These include the proliferation of high-resolution video cameras and the decreasing costs associated with computing technology. These versatile systems find applications in an expansive array of scenarios, including enhancing security in public spaces [10], facilitating access control, automating production process monitoring, enabling emotion recognition, and detecting faces in images [11].

In addition to the well-established employment of machine learning models [12] and neural networks in such systems, researchers worldwide are continuously working on developing, refining, and exploring alternative approaches and algorithms for facial recognition and tracking. Beyond the Haar cascade classifier method discussed in this study, other prominent methods encompass Eigenfaces, Fisherfaces [13], and Local Binary Patterns Histograms (LBPH) [14]. Each of these approaches boasts its unique strengths and limitations, necessitating careful consideration when devising specific applications. The allure of facial recognition and tracking systems continues to grow, attracting escalating resources year by year. This commitment is driven by the unceasing pursuit of improving existing algorithms and pioneering innovative methodologies in this dynamic field.

Methodology. The utilization of the Haar cascade classifier method as the foundation for our facial recognition and tracking system represents a highly efficient approach for detecting faces within images. This approach hinged on the utilization of the pre-trained standard classifier `haarcascade_frontalface_default.xml`, a component readily accessible within the OpenCV software package. This classifier incorporates a meticulously crafted set of rules

specifically designed for facial detection, rendering it an ideal choice for the objectives of this research.

To rigorously assess the system's capabilities, we conducted extensive testing encompassing real photographs captured from a webcam. These images were acquired under a diverse array of conditions, including variations in distances, lighting conditions, and an assortment of parameters. The dataset thereby compiled was intentionally comprehensive, designed to scrutinize the system's accuracy and robustness across a multitude of scenarios.

In order to gauge the accuracy of the system, we introduced the "face detection accuracy" metric into our evaluation framework. This metric, calculated as the ratio of correctly detected faces to the total number of images in the test set, furnished a quantitative assessment of the system's performance. For each measurement, 100 photographs were meticulously collected, and the corresponding ratio was meticulously computed.

The implementation of our system was executed through the Python programming language, leveraging the power and versatility of the OpenCV library. Our choice to employ the Haar cascade classifier method, coupled with the utilization of the pre-trained standard classifier `haarcascade_frontalface_default.xml` sourced from the OpenCV software package, was proven to be an exceedingly effective strategy for the precise detection of faces within images.

Furthermore, the deployment of a comprehensive dataset featuring images under various conditions, encompassing a gamut of parameters, was pivotal in evaluating the system's accuracy and robustness. This diversified dataset enabled us to thoroughly examine the system's performance across a spectrum of real-world situations. The incorporation of the "face detection accuracy" metric, a quantifiable and objective measure of system performance, was indispensable for the assessment of our system's success. This metric provided valuable insights into the system's proficiency in accurately detecting faces, a critical facet in evaluating its overall effectiveness.

Results and discussion. The sheer diversity of user needs highlights the intricacies of facial recognition systems, reflecting the wide-ranging demands of different users. However, despite this diversity, there exists a common thread in terms of the fundamental functionalities and underlying algorithms that power facial recognition systems. While these systems can offer a plethora of additional features such as gesture recognition and autofocus, at their core, they follow a standardized sequence of operations, as illustrated in Fig. 1.

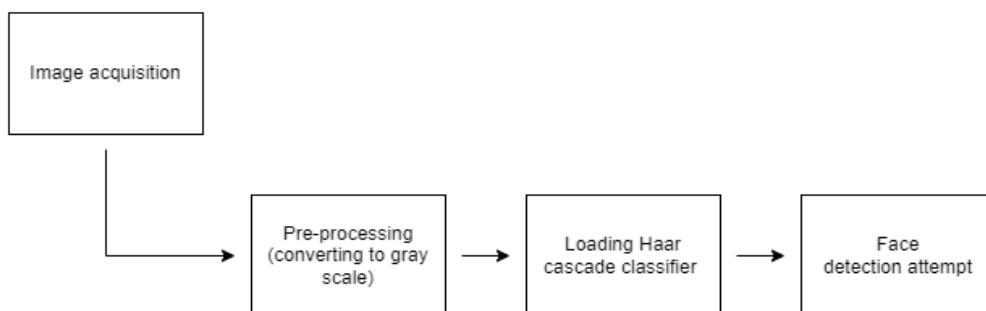


Fig. 1. The sequence of events in the face recognition process

At the heart of these systems lies the Haar cascade classifier method, a stalwart in the realm of facial recognition and tracking. Particularly suited for Arduino-based systems that utilize webcams to capture real-time images, this method shines as the go-to choice.

The Haar cascade classifier method plays a pivotal role in distinguishing between primary and secondary image features. Primary features encompass images of the objects we seek to identify, while secondary features comprise combinations of primary ones, enhancing the precision of object detection [9]. To harness the potential of the Haar method for object recognition, it is imperative to initiate the process with the training of a cascade using a set of training images. Upon completion of the training, the cascade consists of multiple classifiers, each assigned to a specific phase of the object recognition process, as depicted in Fig. 2.

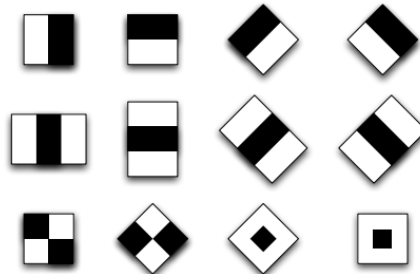


Fig. 2. Types of Haar features [6]

In the quest to detect objects within an image, the cascade classifier method orchestrates a sequence of stages. Initially, the cascade classifier assesses whether the image contains the desired object. If a positive detection occurs, the image advances to the subsequent stage of the search, where the next classifier comes into play. This iterative process continues until the final stage of the search is reached. Importantly, each successive classifier operates within image regions pinpointed by the previous classifier, deliberately excluding areas where no object has been detected. This intelligent strategy significantly reduces the number of regions that require scrutiny at each detection stage, thereby accelerating the overall algorithm's performance.

At evaluating the Haar cascade classifier method against its contemporaries, such as Eigenfaces, Fisherfaces [13], and LBPH [14], it emerges as the frontrunner in terms of accuracy and efficiency in facial recognition. Eigenfaces and Fisherfaces tend to grapple with overfitting issues and often exhibit suboptimal speed, while Local Binary Patterns Histograms fall short in accurately recognizing faces in varying lighting conditions.

So, the Haar cascade classifier method stands as a beacon of accuracy and efficiency within the realm of facial recognition, offering a robust and swift solution that excels where other methods falter. Its application in Arduino-based systems equipped with webcams opens up a world of possibilities for real-time and embedded face detection applications.

Therefore, the choice of employing the Haar cascade classifier method for facial recognition and tracking within an Arduino-based system is a judicious one, particularly when considering the constraints posed by limited computational resources.

In our research endeavor, we undertook the ambitious task of developing and implementing a facial recognition and tracking system. Our choice of hardware revolved around the Arduino Uno Rev3 microcontroller, a dependable platform known for its

accessibility and versatility. To capture real-time images for our system, we harnessed the capabilities of a Logitech C270 HD webcam.

Throughout the course of our project, we embarked on a comprehensive exploration of system implementation, dissecting the intricacies of the facial recognition and tracking system we were constructing. This entailed an in-depth analysis of pre-existing systems already available in the market, leading to the formulation of a cohesive algorithm encapsulating their essential operations. Moreover, we meticulously curated a list of both hardware and software components essential for bringing our envisioned functionality to life. To provide a visual representation of our system's architecture, we have included a structural diagram in Fig. 3.

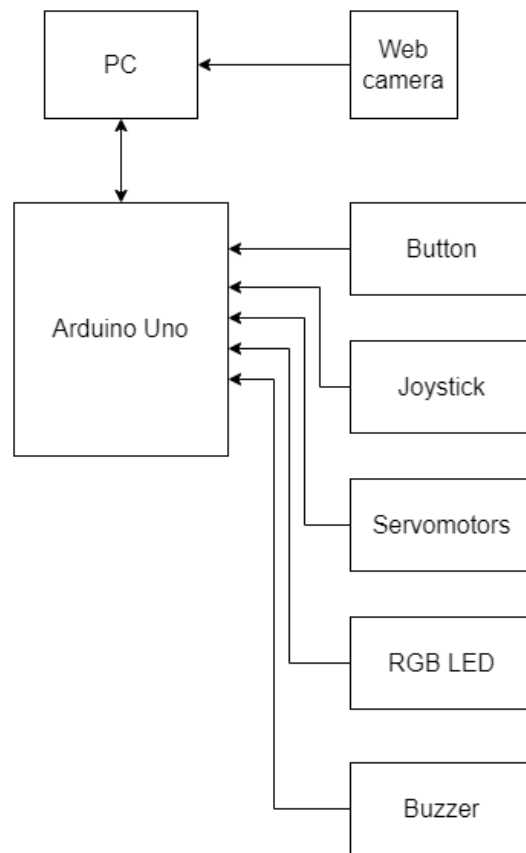


Fig. 3. The structural diagram of the device for face recognition and tracking

This project stands as a testament to our commitment to harnessing cutting-edge technology, all while operating within the confines of limited computational resources. By strategically integrating the Haar cascade classifier method and leveraging the capabilities of the Arduino Uno Rev3 microcontroller, we endeavor to provide an efficient and accessible solution for facial recognition and tracking applications.

The development of our facial recognition and tracking system commenced with the formulation of precise technical specifications, which would serve as the blueprint for the future device. To provide a comprehensive understanding of the system's design, we created structural, functional (as illustrated in Fig. 4), and schematic diagrams, meticulously detailing the various components and their interconnections.

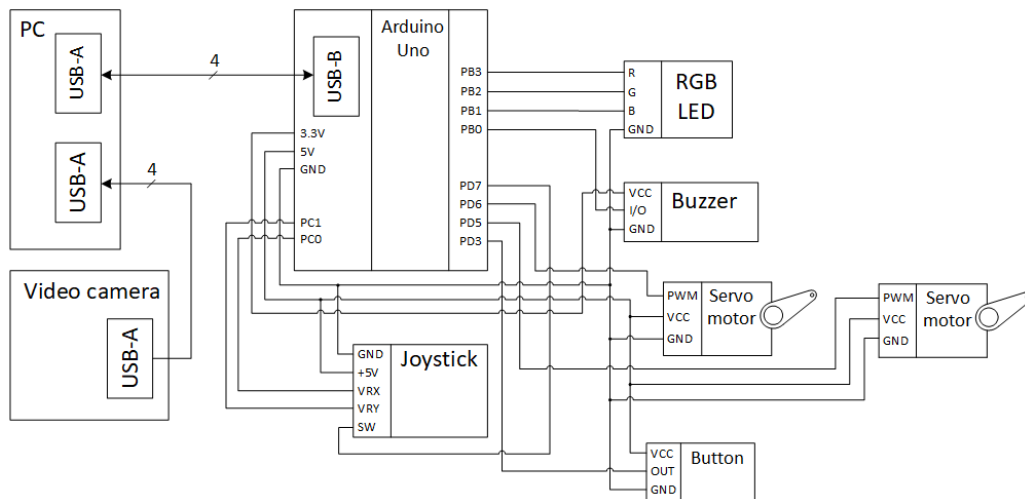


Fig. 4. The functional scheme of the face recognition system

In order to effectively process the incoming image data from the webcam, we employed the Python programming language in tandem with the powerful computer vision library, OpenCV. On the hardware front, we seamlessly integrated an Arduino Uno board alongside its corresponding modules to bring the system to life. This amalgamation of hardware and software is succinctly depicted in Fig. 5, offering a visual representation of our fully implemented face recognition and tracking system.

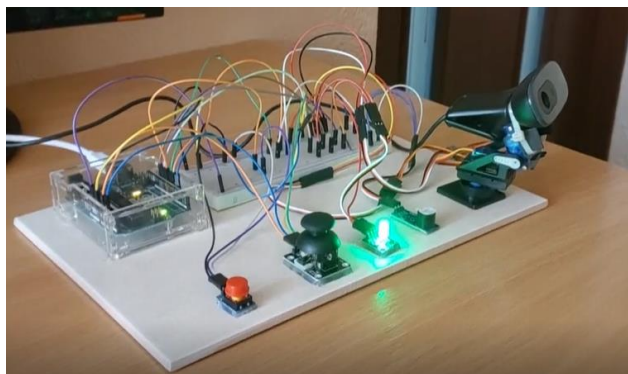


Fig. 5. The appearance of the system for face recognition and tracking

Delving into the algorithm that underpins the system, it becomes evident that a well-defined sequence of operations governs its functionality. Upon initializing the system, a crucial step is taken to reset the prior state of the servo motors, positioning them at a standardized fixed orientation. This preliminary action ensures that the servo motors all initiate their operation from a consistent starting point, establishing equal conditions for the subsequent facial recognition process. Only once this initialization is complete does the system become primed and ready to commence its facial recognition operations.

The operational framework of our facial recognition system is meticulously outlined in Fig. 6, offering an insightful glimpse into its inner workings. A critical facet of successful recognition is the visual confirmation it provides to the user. In a triumphantly recognized face, the system adroitly draws a rectangular frame around the detected visage. This graphical affirmation serves as an engaging and user-friendly indicator of successful recognition, paving the way for further analysis and processing of the identified facial region.

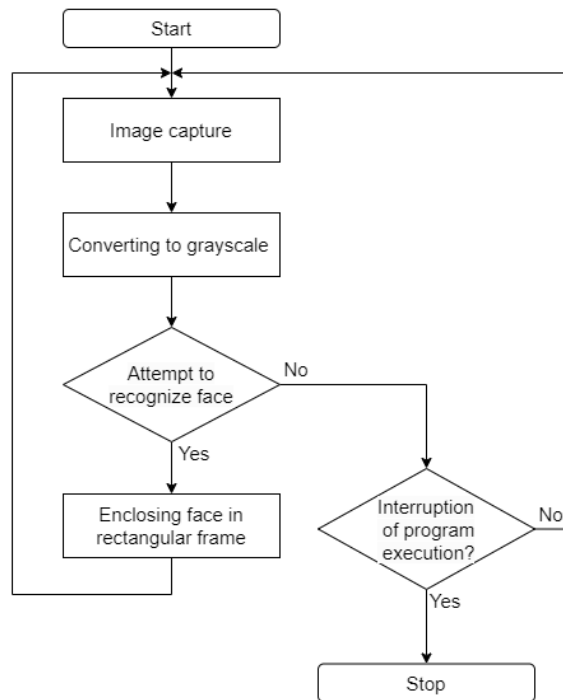


Fig. 6. Functioning algorithm for the face recognition and tracking

The next pivotal step involves the dynamic adjustment of the tilt angles of our servo motors in response to the detected facial position in three-dimensional space. This real-time adaptation ensures that the system keeps the face precisely centered within its observation zone. Irrespective of the user's movements, the system tenaciously endeavors to maintain the face within its field of view. This continuous and adaptive tracking mechanism guarantees an

uninterrupted operation of the system, primed and responsive to user actions, offering seamless interaction within the defined operating parameters.

The cyclic nature of this behavior empowers our system to remain versatile and agile, capable of dynamically adapting to shifts in the environment and altering working conditions. This dynamic responsiveness serves as the bedrock for the system's ability to deliver accurate and stable tracking of the user.

As a testament to the system's effectiveness, rigorous testing was conducted, revealing an impressive level of accuracy in facial detection, consistently exceeding 80%. This high level of accuracy was observed across a diverse array of images, captured at distances of up to one meter from the camera, spanning varying lighting conditions (both adequate and low), and accounting for potential facial tilts in both horizontal and vertical directions. This extensive testing showcases the robustness and reliability of our developed system.

The system's response time was also subjected to scrutiny, yielding satisfactory results. This ensures its suitability for a multitude of facial recognition and tracking tasks, where timely responses are paramount. Fig. 7 furnishes an illuminating visual representation of the system's accuracy estimates at different distances from the camera, taking into account both normal and subdued lighting conditions. These results underscore the trend of decreasing accuracy as the distance from the camera increases and as lighting conditions become less favorable. Such diminution in accuracy can be attributed to the declining image quality at greater distances and the inherent challenges posed by low-light conditions.

The chart in Fig. 7 succinctly encapsulates the alterations in recognition accuracy with respect to distance under both standard and subdued lighting circumstances, providing valuable insights into the system's performance characteristics.

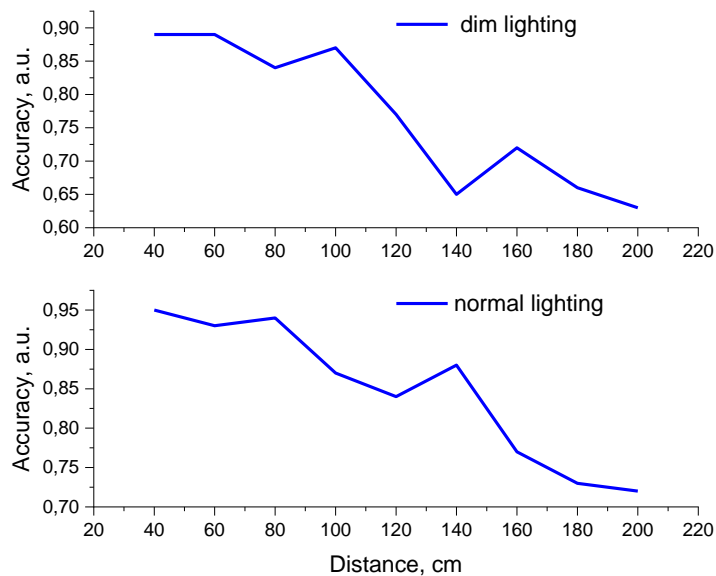


Fig. 7. The accuracy of the system as a function of distance under normal and subdued lighting conditions

Fig. 7 presents a comprehensive analysis of the accuracy ratings obtained from our face recognition system across various scenarios, where distances from the camera and facial tilts of 10 degrees in both horizontal and vertical directions were systematically examined. The findings from this study provide valuable insights into the system's performance under diverse conditions.

Our observations reveal a notable trend: as the distance between the subject and the camera increases, and when the faces are subjected to a 10-degree tilt, the accuracy of the recognition system experiences a discernible decrease. This phenomenon can be attributed to the alterations in the image's perspective and the resultant changes in facial contours when the face is tilted. Such variations in image characteristics pose a challenge to the system's ability to accurately match facial features. To offer a more nuanced view, Fig. 8 offers a graphical representation of the relationship between recognition accuracy and the distance from the camera when the face is tilted horizontally and vertically by 10 degrees. This visual representation highlights the progressive decline in accuracy as the subject moves further from the camera, and the face tilts in either direction. These trends underscore the critical influence of distance and facial orientation on the system's performance.

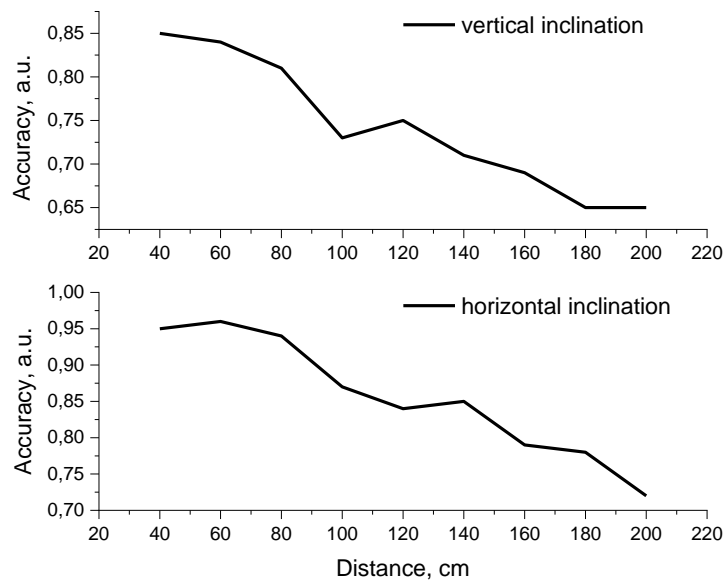


Fig. 8. The chart of system accuracy changes relative to the distance during a horizontal and vertical tilt of the face by 10 degrees

In essence, the test results underscore the multifaceted nature of facial recognition system accuracy, which hinges on several interrelated factors, including but not limited to the subject's distance from the camera, prevailing lighting conditions, and the angle at which the face is presented. These factors collectively influence the system's ability to consistently and reliably recognize faces. As such, they must be taken into careful consideration during the design and deployment of facial recognition systems, particularly when the aim is to attain the highest levels of accuracy and efficiency.

Drawing upon the insights gleaned from our exhaustive research into the facial recognition and tracking system integrated with the Arduino microcontroller, several avenues for potential enhancement emerge. It is crucial to acknowledge that crafting an optimal facial recognition and tracking system is a formidable undertaking, as it necessitates tackling a multitude of challenges linked to real-time data processing and system efficiency. This undertaking requires a holistic approach, encompassing hardware and software optimization, algorithm refinement, and rigorous testing in real-world scenarios. By systematically addressing these facets, we can pave the way for the development of more robust and accurate facial recognition and tracking systems that hold the promise of revolutionizing a myriad of applications across various domains.

Enhancing system efficiency entails several key strategies to elevate the performance of facial recognition and tracking technology. A primary approach involves harnessing the capabilities of more potent microcontrollers, which can significantly accelerate data processing for facial recognition tasks. Prominent options in this regard include the Arduino Mega and Raspberry Pi microcontrollers, both renowned for their heightened performance and processing power. These microcontrollers furnish the system with the horsepower required to expedite its operations, consequently bolstering its overall speed and efficiency.

Another pivotal facet of system improvement revolves around the adoption of high-caliber webcams characterized by superior resolution and data transfer speeds. The deployment of such advanced webcams enables the acquisition of more nuanced and high-quality facial images. By capturing detailed facial information, these webcams contribute substantially to refining the system's efficacy in recognizing faces with greater precision.

To further augment system speed and responsiveness, the implementation of parallel data processing and asynchronous data transfer mechanisms between the hardware and software components becomes imperative. This innovative approach serves to curtail the time needed for data processing and transmission within the system. As a result, it not only streamlines the overall system operation but also enhances the accuracy and expediency of facial recognition.

To elevate the precision of facial recognition even further, the incorporation of neural networks and other machine learning algorithms stands as a key strategy. However, this necessitates the availability of an extensive and diverse database of facial images used for training the neural network. Post-training, the model becomes adept at real-time facial recognition, a capability that significantly bolsters the system's performance and practicality. Such advancements have wide-ranging applications, spanning across security systems, video surveillance, advertising, and various other domains.

Additionally, the exploration of deep learning technology presents an avenue for achieving more accurate facial recognition through convolutional neural networks (CNNs). Nevertheless, it's essential to note that this approach demands substantial computational resources, which might render it less suitable for real-time systems. Nonetheless, its potential for precision enhancement remains a noteworthy consideration.

So, the facial recognition and tracking system underpinned by Arduino microcontroller technology holds immense promise. To fully harness its capabilities and ensure greater efficiency and accuracy, the integration of more potent microcontrollers, enhanced programming environments, and the optimization of data processing algorithms are paramount. These collective improvements will not only empower the system to excel in its current applications but also open up new vistas of possibility in the ever-evolving landscape of facial recognition technology.

Conclusion. The overarching objective of this scientific research endeavor revolves around an in-depth exploration and appraisal of the Haar cascade classifier algorithm's efficacy in the realm of facial recognition. To accomplish this goal, a comprehensive and diverse assortment of facial photographs was harnessed, encompassing images captured at varying distances from the camera, under an array of lighting conditions, and featuring diverse facial orientations within the camera's field of view.

The experimental findings yielded compelling insights into the algorithm's capabilities, revealing a remarkable degree of accuracy that surpassed the 80% threshold in successfully identifying faces. Significantly, the algorithm exhibited this high accuracy across a spectrum of challenging conditions, including scenarios characterized by fluctuating lighting conditions and a wide range of facial orientations. Furthermore, the Haar cascade classifier algorithm demonstrated commendable efficiency, proving itself adaptable even within systems possessing limited computational resources.

Drawing from the wealth of data and insights generated by this research, it is evident that the Haar cascade classifier algorithm stands as a promising and effective solution in the realm of facial recognition systems. Its robust performance under various testing conditions underscores its potential as a robust tool in real-world applications.

Delving deeper into the intricacies of the Haar cascade classifier algorithm, it is essential to elucidate its underlying principles. This algorithm operates on the principles of machine learning, leveraging a profound understanding of facial features and patterns in digital images. It achieves this by meticulously scrutinizing a set of discernible features within the image, including edges, lines, and corners, which collectively form the building blocks for a cascade of classifiers. These classifiers are then wielded as instruments of detection, meticulously sifting through an image to identify the presence of crucial facial features.

This research not only validates the algorithm's capabilities but also unearths valuable insights that can catalyze further advancements in enhancing its accuracy and performance. These insights are invaluable not only for the scientific community but also for the practical implementation of facial recognition technology in a myriad of domains, ranging from security and surveillance to biometrics and beyond. Thus, the Haar cascade classifier algorithm emerges not only as a robust tool for present applications but also as a fertile ground for continuous innovation and refinement in the realm of facial recognition.

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СИСТЕМА ПЕРЕВІРКИ ТА ВИЯВЛЕННЯ ОСОБИСТОСТІ НА ОСНОВІ ТЕХНОЛОГІЇ РОЗПІЗНАВАННЯ ОБЛИЧ

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Створено систему розпізнавання та відстеження обличчя, що реалізована у вигляді вбудованого пристрою на базі мікроконтролера Arduino. У прототипі передбачена світлова та звукова індикація роботи системи, а також режим мануальної зміни положення камери за допомогою джойстика. Програмна частина системи реалізована на мові Python з використанням алгоритму для обробки зображень та виведення результативного зображення на екран. Реалізована система має бути у змозі успішно розпізнавати різні обличчя, максимально уникати хибних розпізнавань та швидко реагувати на зміну положення обличчя у кадрі шляхом оперативного корегування позиції камери по вертикальній та горизонтальній осі.

Досліджено та оцінено ефективність алгоритму каскадного класифікатора Хаара для розпізнавання обличчя. Для досягнення цієї мети було використано широкий і різноманітний асортимент фотографій обличчя, які охоплюють зображення, зроблені на різних відстанях від камери, за різних умов освітлення та з різними орієнтаціями обличчя в полі зору камери.

Експериментальні результати продемонстрували можливості алгоритму, виявивши ступінь точності, який перевищив поріг у 80% для успішного визначення обличчя. Показано, що алгоритм продемонстрував таку високу точність у спектрі складних умов, включаючи сценарії, що характеризуються коливаннями умов освітлення та широким діапазоном орієнтації обличчя. Крім того, алгоритм каскадного класифікатора Хаара продемонстрував ефективність, показавши свою адаптивність навіть у системах з обмеженими обчислювальними ресурсами.

Алгоритм працює на основі принципів машинного навчання, використовуючи глибоке розуміння рис обличчя та візерунків на цифрових зображеннях. Це досягається шляхом ретельного вивчення набору помітних особливостей зображення, включаючи краї, лінії та кути, які разом утворюють будівельні блоки для каскаду класифікаторів. Дані класифікатори використовуються як інструменти виявлення, переглядаючи зображення, для визначення важливих рис обличчя.

Представлено дослідження не тільки підтверджує можливості алгоритму, але й дає можливість у подальшому розвивати точність та продуктивність системи. Таким чином, алгоритм каскадного класифікатора Хаара стає не лише надійним інструментом для сучасних програм, але й інструментом для інновацій та вдосконалення у сфері розпізнавання обличчя.

Ключові слова: система, розпізнавання, пристрій, відстеження обличчя, алгоритм, класифікатори Хаара.

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